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Commissioner for Patents, P.O. Box 1450  
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Name of Registered Representative:

John C. Freeman  
John C. Freeman, Reg. No. 34,483  
December 19, 2003  
Date of Signature

PATENT  
CASE NO. 56/410

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: )  
Georg Zehentner et al. )  
Serial No.: 10/633,275 ) Group Art Unit: 2655  
Filed: August 1, 2003 ) Examiner: unassigned  
For: METHOD FOR ERROR )  
DETECTION IN A DRIVE )  
MECHANISM )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. Copies of the references are enclosed along with a completed copy of Form PTO-1449.



FORM PTO-1449	SERIAL NO. 10/633,275	CASE NO. 56/410
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	FILING DATE August 1, 2003	GROUP ART UNIT 2655
	APPLICANT(S): Georg Zehentner et al.	

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
A1	5,266,891	11/30/93	Kumar et al.		
A2	5,363,039	11/08/94	Kumar et al.		
A3	6,160,414	12/12/00	Matsubara et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
A4	WO 97/14205 A1	04/17/97	WIPO		No
A5	0 848 492 A1	06/17/98	EP		No

**EXAMINER INITIAL**      **OTHER ART – NON PATENT LITERATURE DOCUMENTS**

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

A6	Rene Spee et al., "Remedial Strategies for Brushless DC Failures," IEEE Transactions On Industry Applications, Vol. 26, No. 2, March/April 1990, pp. 259-266.
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EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;  
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

## I. DISCLOSURE

### A. U.S. Patents

<u>Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
5,266,891	Kumar et al.	11/30/93
5,363,039	Kumar et al.	11/08/94
6,160,414	Matsubara et al.	12/12/00

### B. Foreign Patent References

<u>Reference No.</u>	<u>Country</u>	<u>Publication Date</u>
WO 97/14205 A1	WIPO	04/17/97
0 848 492 A1	EP	06/17/98

### C. Literature

- 1) Rene Spee et al., "Remedial Strategies for Brushless DC Drive Failures," IEEE Transactions On Industry Applications, Vol. 26, No. 2, March/April 1990, pp. 259-266.

## II. DISCUSSION

### A. U.S. Patent No. 5,266,891

The '891 patent was cited as an A-type and D-type reference in a Search Report for corresponding European patent application 03 01 5341. An A-type reference is not considered pertinent to patentability and provides technical background.

### B. WIPO Reference No. 97/14205

The '205 patent reference was cited as an A-type reference in a Search Report for a corresponding European patent application 03 01 5341.

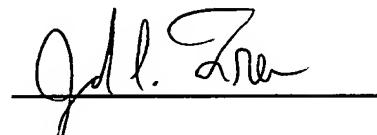
C. Spee et al. Article

The Spee et al. article was cited as an A-type reference in a Search Report for a corresponding European patent application 03 01 5341.

**III. CONCLUSION**

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record.

Respectfully submitted,



John C. Freeman  
Registration No. 34,483  
Attorney for Applicants

BRINKS HOFER  
GILSON & LIONE  
P.O. Box 10395  
Chicago, Illinois 60610  
(312) 321-4200

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